

08-06-01

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Attorney Docket No: COUL-012/01US

PATENT

Express Mail Label Number:

EL514036834US

Date of Deposit:

August 2, 2001

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Date:

2 August 2001

By:

Vladimir Skliba

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of Timothy Tseng-hui Chen, et al.

Serial No.: 09/875,494

Examiner: Not Yet Assigned

Filed: June 5, 2001

Art Unit: 1645

For: NOVEL LEADER PEPTIDES FOR ENHANCING SECRETION OF RECOMBINANT PROTEIN FROM A HOST CELL

Attn: Manager, Application Branch  
Commissioner for Patents and Trademarks  
Washington, D.C. 20231

### RESPONSE TO NOTICE TO COMPLY WITH REQUIREMENTS FOR PATENT APPLICATIONS CONTAINING NUCLEOTIDE SEQUENCES

In response to the Notice to Comply with Requirements for Nucleotide Sequence and/or Amino Acid Sequence Disclosures mailed July 19, 2001, transmitted herewith are:

- ☐ paper copy of the "Sequence Listing";
- ☐ copy of the "Sequence Listing" in computer readable form on a:
  - ☐ 3 1/2" floppy disk;
  - ☐ 5 1/4" floppy disk;
- ☒ Notice to Comply with Requirements for Patent Applications containing Nucleotide Sequences;
- ☒ Statement Required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d)

The content of the copy in computer readable form is identical to the content of the paper copy of the "Sequence Listing".

This submission includes no new matter.

The Commissioner is hereby authorized to charge any underpayment of the following fees associated with this communication, or credit any overpayment to Deposit Account No. 03-3117:

- ☒ Any national application filing fees under 37 CFR 1.16.
- ☒ Any patent application processing fees under 37 CFR 1.17.

Cooley Godward LLP  
Attn: Patent Group  
Five Palo Alto Square  
3000 El Camino Real  
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Tel: (650) 843-5000  
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ML:hh

Respectfully submitted,  
COOLEY GODWARD LLP

By:



Marcella Lillis, Ph.D.  
Reg. No. 36,583



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/875,494	06/05/2001	Tseng-hui Timothy Chen	COUL-012/01US

CONFIRMATION NO. 7143

23419  
COOLEY GODWARD, LLP  
3000 EL CAMINO REAL  
5 PALO ALTO SQUARE  
PALO ALTO, CA 94306

## FORMALITIES LETTER



\*OC000000006320420\*

Date Mailed: 07/19/2001

**NOTICE TO COMPLY WITH REQUIREMENTS FOR PATENT APPLICATIONS  
CONTAINING NUCLEOTIDE SEQUENCE AND/OR AMINO ACID SEQUENCE  
DISCLOSURES**

Applicant is given **TWO MONTHS FROM THE DATE OF THIS NOTICE** within which to file the items indicated below to avoid abandonment. Extensions of time may be obtained under the provisions of 37 CFR 1.136(a).

- This application does not contain a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d). Applicant must provide such statement. If the effective filing date is on or after September 8, 2000, see the final rulemaking notice published in the Federal Register at 65 FR 54604 (September 8, 2000) and 1238 OG 145 (September 19, 2000).

**For questions regarding compliance to these requirements, please contact:**

- For Rules Interpretation, call (703) 308-4216
- To Purchase PatentIn Software, call (703) 306-2600
- For PatentIn Software Program Help, call (703) 306-4119 or e-mail at [patin21help@uspto.gov](mailto:patin21help@uspto.gov) or [patin3help@uspto.gov](mailto:patin3help@uspto.gov)

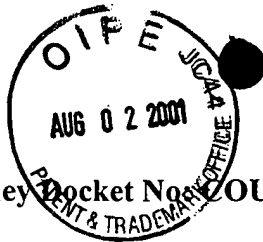
*A copy of this notice **MUST** be returned with the reply.*

  
Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

#4



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Date: 2 August 2001 By: Vladimir Skliba  
Vladimir Skliba

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re application of Timothy Tseng-hui Chen, et al.

Serial No.: 09/875,494 Examiner: Not Yet Assigned  
Filed: June 5, 2001 Art Unit: 1645  
For: NOVEL LEADER PEPTIDES FOR ENHANCING SECRETION OF  
RECOMBINANT PROTEIN FROM A HOST CELL

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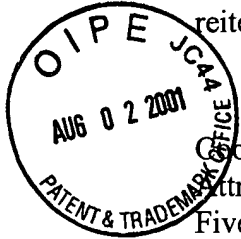
Attn: Manager, Application Branch  
Commissioner for Patents and Trademarks  
Washington, D.C. 20231

**STATEMENT REQUIRED BY 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), OR  
1.825(d)**

In reply to the Notice to Comply with Requirements for Patent Applications Containing Nucleotide Sequence and/or Amino Acid Sequence Disclosures mailed July 19, 2001, Applicant provides the following statement.

The content of the Sequence Listing information recorded in computer readable form on the diskette submitted with the application filed June 5, 2001 is identical to the paper copy of the Sequence Listing that was filed with the application on June 5, 2001.

Applicant would like to point out that, contrary to the indication in the Notice to Comply, the Application Transmittal accompanying the application (including the paper copy and computer readable copy of the Sequence Listing) contained a Statement that the content of the paper and computer readable copies were identical. Applicant now specifically reiterates this statement.



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ML:hh

Respectfully submitted,  
COOLEY GODWARD LLP

By:

A handwritten signature in cursive script, appearing to read "Marcella Lillis".

Marcella Lillis, Ph.D.  
Reg. No. 36,583